

# PATENT

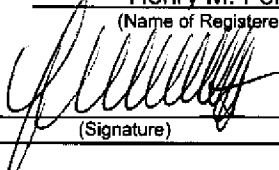
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: PFANNSCHMIDT-2

In re Application of:	)
BERND PFANNSCHMIDT	)
& EKKEHARD PITTIUS	)
Appl. No.: 10/553,698	) Examiner: Jacobs, D. T.
Filed: August 3, 2006	) Group Art Unit: 2834
For: ELECTRICAL MACHINE HAVING COOLED	) Confirm. No: 2005
LAMINATED STATOR AND ROTOR CORES	)
AND WINDINGS	)

### PETITION UNDER 37 C.F.R. 1.181

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>CERTIFICATION OF EFS-WEB TRANSMISSION</b>	
I hereby certify that this paper is being EFS-Web transmitted to the U.S. Patent and Trademark Office, Alexandria VA 22313-1450, on <u>June 21, 2007</u> .	
Date	
Henry M. Feiereisen	
(Name of Registered Representative)	
	6-21-2007
(Signature)	(Date of Signature)

S I R:

Applicant hereby petitions the Director to withdraw the filing receipt and the Form PCT/DO/EO/903 (371 Acceptance Notice) and to assign to above-reference patent application the correct filing date of August 3, 2006. Issuance of a corrected filing receipt and corrected Form PCT/DO/EO/903 (371 Acceptance Notice) is respectfully requested.

A true copy of the "Electronic Acknowledgement Receipt" showing the receipt date of the declaration (3 August 2006) is enclosed.

Please also correct the number of claims from "10" to --11--. Attached is a copy of the filing receipt and the notice of acceptance of application showing the requested corrections.

The undersigned states that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Respectfully submitted,

By: 

Henry M. Feiereisen  
Agent For Applicant  
Reg. No: 31,084

Date: June 21, 2007  
350 Fifth Avenue  
Suite 4714  
New York, N.Y. 10118  
(212) 244-5500  
HMF:af



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,698	(08/23/2006)	2834	1030	PFANNSCHMIDT-2	2	(10)	3

--08/03/2006--

--11--

CONFIRMATION NO. 2005

20151  
 HENRY M FEIEREISEN, LLC  
 350 FIFTH AVENUE  
 SUITE 4714  
 NEW YORK, NY 10118

## FILING RECEIPT



\*OC000000020999074\*

Date Mailed: 10/30/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Bernd Pfannschmidt, Rosstal, GERMANY;  
 Ekkehard Pittius, Roth, GERMANY;

## Assignment For Published Patent Application

Siemens Aktiengesellschaft, Munchen, GERMANY

**Power of Attorney:** The patent practitioners associated with Customer Number 20151.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03475 04/01/2004

## Foreign Applications

GERMANY 10317593.8 04/16/2003

**If Required, Foreign Filing License Granted:** 10/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,698**

**Projected Publication Date:** 02/01/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Electrical machine provided with cooled metal stacks and windings of the stator rotor thereof

**Preliminary Class**

310

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,698	Bernd Pfannschmidt	PFANNSCHMIDT-2

INTERNATIONAL APPLICATION NO.
PCT/EP04/03475

I.A. FILING DATE	PRIORITY DATE
04/01/2004	04/16/2003

20151  
 HENRY M FEIEREISEN, LLC  
 350 FIFTH AVENUE  
 SUITE 4714  
 NEW YORK, NY 10118

CONFIRMATION NO. 2005  
 371 ACCEPTANCE LETTER



\*OC000000020999075\*

Date Mailed: 10/30/2006

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

(08/23/2006) -- 08/03/2006 --	(08/23/2006) -- 08/03/2006 --
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 10/17/2005
- Copy of the International Search Report filed on 10/17/2005
- Preliminary Amendments filed on 10/17/2005
- Information Disclosure Statements filed on 10/17/2005
- Oath or Declaration filed on 08/23/2006
- Request for Immediate Examination filed on 10/17/2005
- U.S. Basic National Fees filed on 10/17/2005
- Priority Documents filed on 10/17/2005
- Power of Attorney filed on 08/23/2006
- Non-English Language Application filed on 10/17/2005
- Specification filed on 10/17/2005

- Claims filed on 10/17/2005
- Abstracts filed on 10/17/2005
- Drawings filed on 10/17/2005

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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PAULETTE R KIDWELL  
Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1140199
<b>Application Number:</b>	10553698
<b>Confirmation Number:</b>	2005
<b>Title of Invention:</b>	Electrical machine having cooled laminated stator and rotor cores and windings
<b>First Named Inventor:</b>	Siemens Aktiengesellschaft
<b>Customer Number:</b>	20151
<b>Filer:</b>	Henry M. Felereisen/Ursula Besch
<b>Filer Authorized By:</b>	Henry M. Felereisen
<b>Attorney Docket Number:</b>	PFANNSCHMIDT-2
<b>Receipt Date:</b>	03-AUG-2006
<b>Filing Date:</b>	
<b>Time Stamp:</b>	17:11:08
<b>Application Type:</b>	U.S. National Stage under 35 USC 371
<b>International Application Number:</b>	

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 130
RAM confirmation Number	335
Deposit Account	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
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1	Transmittal letter	Pfannshmidt2MPartsC.pdf	109949	no	3
Warnings:					
Information:					
2	Transmittal letter	Pfannshmidt2MPartsTrLetter.pdf	93047	no	2
Warnings:					
Information:					
3	Oath or Declaration filed	Pfannshmidt2DCL.pdf	254158	no	3
Warnings:					
Information:					
4	Fee Worksheet (PTO-875)	fee-info.pdf	8211	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			465365		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					